

Summer Term 2014

Dr. Jan Sauermann

(1392) Stability, quality, and performance of democracy

Time: Mondays 10:00 – 11:30

Room: **S110 Herbert-Lewin-Haus**, Herbert-Lewin-Str. 6

First session: 7 April 2014

Course description

The course provides a broad overview of the literature on democracy in comparative politics. We will concentrate on two major topics; factors influencing the quality and stability of democracy and the effects of democracy, i.e. its performance. We will discuss the following questions:

Quality and stability:

- What is democracy? How can we define democracy?
- How can we measure democracy?
- Which factors promote transitions to democracy?
- Why do democracies fail?
- Which factors stabilize democracy?

Performance:

- What are the effects of democracy?

Prerequisites

Students should have a good knowledge of political science concepts, basic qualitative and quantitative methods, and an interest in current research. Since nearly all reading assignments are in English, this will be the general classroom language as well. Participants therefore must know enough English to be able to participate actively. Perfection is not required – you just need to understand and make yourself understood.

Relevance for study programmes

The course is open to master/diploma students and doctoral students of the WiSo-Faculty with an interest in political science.

Registration

The course will be taught on a weekly basis (first session April 7). Students should register via KLIPS. Students unable to use KLIPS can register via Email (jan.sauermann@uni-koeln.de).

Reading assignments

At the beginning of April a reader with the required texts for the sessions of the seminar can be bought at Copy-Star (Zülpicher Straße 184, 50937 Köln).

Working requirements and assessment method

All participants are expected to:

- attend the seminar on a regular basis
- complete assigned readings according to schedule
- actively contribute to class discussions
- give a 10 to 15 minutes presentation in the seminar

Depending on the "Prüfungsordnung"

- EITHER write a research paper of 15-20 pages (about 5.000 words) in length
Deadline: 15 September 2014, 12:00
- OR sit a written exam

General readings

- Dahl, Robert A. 1989. *Democracy and Its Critics*. New Haven and London: Yale University Press.
- Shapiro, Ian. 2003. *The State of Democratic Theory*. Princeton: Princeton University Press.
- Dahl, Robert A., Ian Shapiro und José Antonio Cheibub, (Hrsg.) 2003. *The Democracy Sourcebook*. Cambridge: MIT Press.
- Przeworski, Adam, Michael E. Alvarez, José Antonio Cheibub und Fernando Limongi. 2000. *Democracy and Development. Political Institutions and Well-Being in the World, 1950-1990*. Cambridge: Cambridge University Press.
- Coppedge, Michael. 2012. *Democratization and Research Methods*. Cambridge: Cambridge University Press.

Schedule and readings

7 April 2014 Introduction

I Quality and stability

14 April 2014 What is democracy?

Required readings:

- Dahl, Robert A. 1971. *Polyarchy. Participation and Opposition*. New Haven and London: Yale University Press. 1-32.
- Schumpeter, Joseph. 2003. "Capitalism, Socialism, and Democracy." In *The Democracy Sourcebook*, eds. Robert A. Dahl, Ian Shapiro and José Antonio Cheibub. Cambridge: MIT Press. 5-11.

Optional readings:

- Schmitter, Philippe C. und Terry Lynn Karl. 1991. "What Democracy Is ... And Is Not." *Journal of Democracy* 2 (3): 75-88.

28 April 2014 Conceptualizing and measuring democracy

Required readings:

- Collier, David und Steven Levitsky. 1997. "Democracy with Adjectives: Conceptual Innovation in Comparative Research." *World Politics* 49 (3): 430-51.
- Munck, Gerardo L. und Jay Verkuilen. 2002. "Conceptualizing and Measuring Democracy: Evaluating Alternative Indices." *Comparative Political Studies* 35 (5): 5-34.

Optional readings:

- Coppedge, Michael, John Gerring, David Altman, Michael Bernhard, Steven Fish, Allen Hicken, Matthew Kroenig, Staffan I. Lindberg, Kelly McMann, Pamela Paxton, Holli A. Semetko, Svend-Erik Skaaning, Jeffrey Staton and Jan Teorell. 2011. "Conceptualizing and Measuring Democracy: A New Approach." *Perspectives on Politics* 9 (2): 247-67.

Presentation:

- Högström, John. 2013. "Does the Choice of Democracy Measure Matter? Comparisons between the Two Leading Democracy Indices, Freedom House and Polity Iv." *Government and Opposition* 48 (2): 201-21.

5 May 2014 The boundary problem

Required readings:

- Dahl, Robert A. 1989. *Democracy and Its Critics*. New Haven and London: Yale University Press: 193-209.
- Hainmueller, Jens, and Dominik Hangartner. 2013. "Who Gets a Swiss Passport? A Natural Experiment in Immigrant Discrimination." *American Political Science Review* 107 (1): 159-87.

Optional readings:

- Linz, Juan J. und Alfred Stepan. 1996. *Problems of Democratic Transition and Consolidation: Southern Europe, South America, and Post-Communist Europe*. Baltimore and London: The Johns Hopkins University Press: 16-37.

12 May 2014 Waves of democratization

Required readings:

- Huntington, Samuel P. 1991. *The Third Wave. Democratization in the Late Twentieth Century*. Norman: University of Oklahoma Press. 1-40.
- Carothers, Thomas. 2002. "The End of the Transition Paradigm." *Journal of Democracy* 13 (1): 5-21.

Optional readings:

- Doorenspleet, Renske. 2000. "Reassessing the Three Waves of Democratization." *World Politics* 52 (3): 384-406.
- Bunce, Valerie. 2000. "Comparative Democratization. Big and Bounded Generalizations." *Comparative Political Studies* 33 (6/7): 703-34.
- Kapstein, Ethan B., and Nathan Converse. 2008. Why Democracies Fail. *Journal of Democracy* 19 (4): 57-68.

19 May 2014 Causes of democratization

Required readings:

- Lipset, Seymour Martin. 1959. Some Social Requisites of Democracy: Economic Development and Political Legitimacy. *American Political Science Review* 53 (1): 69-105.
- Rustow, Dankwart A. 1970. "Transitions to Democracy: Toward a Dynamic Model." *Comparative Politics* 2 (3): 337-63.

26 May 2014 The impact of economic development on democratization

Required readings:

- Przeworski, Adam, and Fernando Limongi. 1997. Modernization: Theories and Facts. *World Politics* 49 (2): 155-183.
- Boix, Carles, and Susan C. Stokes. 2003. Endogenous Democratization. *World Politics* 55 (4): 517-549.

2 June 2014 The resource curse

Required readings:

- Ross, Michael L. 2001. "Does Oil Hinder Democracy?" *World Politics* 53 (3): 325-61.
- Herb, Michael. 2005. "No Representation without Taxation? Rents, Development, and Democracy." *Comparative Politics* 37 (3): 297-316.

Optional readings:

- Haber, Stephen, and Victor Menaldo. 2011. "Do Natural Resources Fuel Authoritarianism? A Reappraisal of the Resource Curse." *American Political Science Review* 105 (1): 1-26.
- Jensen, Nathan, and Leonard Wantchekon. 2004. "Resource Wealth and Political Regimes in Africa." *Comparative Political Studies* 37 (7): 816-41.

16 June 2014 Democracy in heterogeneous societies

Required readings:

- Lijphart, Arend. 1977. *Democracy in Plural Societies. A Comparative Exploration*. New Haven and London: Yale University Press. 25-52.
- Andeweg, Rudy B. 2000. Consociational Democracy. *Annual Review of Political Science* 3: 509-536.
- Spears, Ian S. 2002. Africa: The Limits of Power-Sharing. *Journal of Democracy* 13 (3): 123-136.

Optional readings:

- Lijphart, Arend. 1969. Consociational Democracy. *World Politics* 21 (2): 207-225.
- Jung, Courtney, and Ian Shapiro. 1995. South Africa's Negotiated Transition: Democracy, Opposition, and the New Constitutional Order. *Politics & Society* 23 (3): 269-308.
- Fish, Steven M., and Robin S. Brooks. 2004. Does Diversity Hurt Democracy? *Journal of Democracy* 15 (1): 154-166.

23 June 2014 Parliamentary vs. presidential political systems

Required readings:

- Linz, Juan J. 1990. The Perils of Presidentialism. *Journal of Democracy* 1 (1): 51-69.
- Cheibub, José Antonio. 2002. Minority Governments, Deadlock Situations, and the Survival of Presidential Democracies. *Comparative Political Studies* 35 (3): 284-312.

Presentation:

- Stepan, Alfred, and Cindy Skach. 1993. Constitutional Frameworks and Democratic Consolidation: Parliamentarianism versus Presidentialism. *World Politics* 46 (1): 1-22.

II Performance

30 June 2014 Democratic peace

Required readings:

- Kant, Immanuel. 2003. "Perpetual Peace." In *The Democratic Sourcebook*, Robert A. Dahl, Ian Shapiro und José Antonio Cheibub (Hrsg.). Cambridge: MIT Press. 490-91.
- Ray, James Lee. 1998. Does Democracy Cause Peace? *Annual Review of Political Science* 1: 27-46.
- Bueno de Mesquita, Bruce, James D. Morrow, Randolph M. Siverson, and Alastair Smith. 1999. An Institutional Explanation of the Democratic Peace. *American Political Science Review* 93 (4): 791-807.

Optional readings:

- Oneal, John R., and Bruce Russett. 1999. The Kantian Peace. The Pacific Benefits of Democracy, Interdependence, and International Organizations, 1885-1992. *World Politics* 52 (1): 1-37.
- Gartzke, Erik. 2007. The Capitalist Peace. *American Journal of Political Science* 51 (1): 166-191.

7 July 2014 The economic performance of democracy

Required readings:

- Gerring, John, Philip Bond, William T. Barndt, and Carola Moreno. 2005. Democracy and Economic Growth. A Historical Perspective. *World Politics* 57 (3): 323-364.
- Baum, Matthew A., and David A. Lake. 2003. The Political Economy of Growth: Democracy and Human Capital. *American Journal of Political Science* 47 (2): 333-347.

Optional readings:

- Desai, Raj M., Anders Olofsgård, and Tarik M. Yousef. 2003. Democracy, Inequality, and Inflation. *American Political Science Review* 97 (3): 391-406.

14 July 2014 Democracy and development

Required readings:

- Ross, Michael L. 2006. Is Democracy Good for the Poor? *American Journal of Political Science* 50 (4): 860-874.
- Gerring, John, Strom C. Thacker, and Rodrigo Alfaro. 2012. Democracy and Human Development. *Journal of Politics* 74 (1): 1-17.

Optional readings:

- Stasavage, David. 2005. Democracy and Education Spending in Africa. *American Journal of Political Science* 49 (2): 343-358.